

VQF member No.	AMLA File No.

Establishing of the controlling person of operating legal entities and partnerships both not quoted on the stock exchange (K)

(for operating legal entities and partnership that are contracting partner as well as analogously for operating legal entities and partnership that are beneficial owners)

Contracting partner:

The contracting partner hereby declares that (tick the appropriate box):

- the person(s) listed below is/are **holding 25% or more of the contracting partner's shares (capital shares or voting rights)**; or
- if the capital shares or voting rights cannot be determined on in case there are no capital shares or voting rights 25% or more, the contracting partner hereby declares that the person(s) listed below **is/are controlling the contracting partner in other ways**; or
- in case this/these person(s) cannot be determined or this/these person(s) does/do not exist, the contracting partner hereby declares that the person(s) listed below is/are the **managing director(s)**

Last name(s): _____

First name(s): _____

Actual address of domicile: _____

Fiduciary holding assets

Is a third person the beneficial owner of the assets held in the account/securities account?

- No.
- Yes. → The relevant information regarding the beneficial owner has to be obtained by filling in a separate VQF doc. No. 902.9.

The contracting partner hereby undertakes to automatically inform of any changes to the information contained herein.

Date:

Signature(s):

It is a criminal offence to deliberately provide false information on this form (article 251 of the Swiss Criminal Code, documents forgery).